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ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

04/27/01  
09/845073  
U.S. PTO  
04/27/01

TRANSMITTED HERewith FOR FILING IS A  
U.S. PATENT APPLICATION FOR:

Inventor(s): JOHN F. LUK  
Title: DIODE LIGHTING SYSTEM

Enclosed are:

- ☒ Specification, Claims, Abstract
- ☒ 31 sheets of drawings
- ☐ FORMAL ☒ INFORMAL
- ☒ An Assignment of the Invention and Recordal of Assignment
- ☐ A certified copy of an Application
- ☒ A declaration and power of attorney
- ☒ Applicant/client is small entity status under 37 CFR 1.9 and 34 CFR 1.27  
AND CLAIMS HEREIN

The filing fee has been calculated as shown below:

FOR	NO. FILED	NO. EXTRA
Basic Fee		\$
Total Claims	115 - 20=	95
Indep Claims	<u>6</u> - 3=	<u>3</u>
Multiple Dependent Claim Presented		

SMALL ENTITY	
RATE	FEE
	\$355.00
x 9 =	\$855.00
<u>40</u> <del>x 30 =</del>	<u>\$120</u>
x 130 =	\$
TOTAL	<u>\$1,330</u>

or  
or  
or  
or  
or  
or

LARGE ENTITY	
RATE	FEE
	\$710.00
x 18 =	\$
x 78 =	\$
x 260 =	\$
TOTAL	\$

☐ Please charge my Deposit Account No. 10-0100 in the amount of \$          .  
A duplicate copy of this sheet is enclosed.

\$1,370

- ☒ Check in the amount of \$\*           to cover the filing and recordal of assignment fees is enclosed.  
\*INCLUDES \$40.00 RECORDAL OF ASSIGNMENT FEE)
- ☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication and during the pendency of this application, such as an extension or issue fee if mistakenly not paid or insufficient, and/or any other fee due but not paid by check, etc., or credit any overpayment to Deposit Account No. 10-0100. A duplicate copy of this sheet is enclosed.

Dated: 4/27/01  
One Chase Road  
Scarsdale, NY 10583  
(914) 723-4300

LACKENBACH SIEGEL

BY: [Signature]  
HENRY A. MARZULLO, JR.  
Reg. No. 20,910

Enclosed for filing under X 35 USC 111(a)        35 USC 111(b) or        35 USC 371